

AMENDMENTS TO THE DRAWINGS:

The attached Replacement Sheets include changes to Figures 1 and 2. These sheets replace the original sheets containing Figures 1 and 2. No new matter has been added.

REMARKS

This Preliminary Amendment cancels, without prejudice, claims 1-10 in the underlying PCT Application No. PCT/EP2004/052027 and adds new claims 11-21. The new claims, inter alia, conform the claims to United States Patent and Trademark Office rules and do not add any new matter to the application.

In accordance with 37 C.F.R. § 1.125(b), the Substitute Specification (including the Abstract) contains no new matter. The amendments reflected in the Substitute Specification (including Abstract) are to conform the Specification and Abstract to United States Patent and Trademark Office rules or to correct informalities. As required by 37 C.F.R. §§ 1.121(b)(3)(ii) and 1.125(c), a Marked-Up Version of the Substitute Specification comparing the Specification of record and the Substitute Specification also accompanies this Preliminary Amendment. Approval and entry of the Substitute Specification (including Abstract) are respectfully requested.

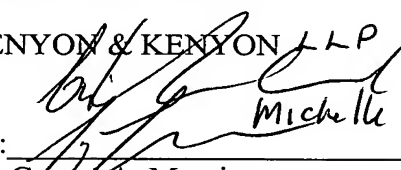
The underlying PCT Application No. PCT/EP2004/052027 includes an International Search Report, dated December 22, 2004, a copy of which is included. The Search Report includes a list of documents that were considered by the Examiner in the underlying PCT application.

It is respectfully submitted that the subject matter of the present application is new, non-obvious, and useful. Prompt consideration and allowance of the application are respectfully requested.

Respectfully Submitted,

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METHOD FOR EVALUATION AND STABILIZATION OVER TIME OF
CLASSIFICATION RESULTS

~~Background Of~~ BACKGROUND INFORMATION

The ~~Invention~~ The present invention ~~proceeds from~~ relates to a method and system for evaluating classification results from classification methods which proceed in computer-assisted fashion and in which objects to be classified are sensed using sensors over a period of time, and are repeatedly classified with the inclusion of specific quality parameters for an object class. A quality parameter of this kind is a parameter number or another qualifying indication within a preselected range, and is expressive of the certainty that the object belongs to the particular object class and not to a different object class.

Observations of objects are evaluated today in many application sectors, e.g., in machine vision in industrial production processes, in safety-related applications, for sensing the surroundings of vehicles, etc. These observations are furnished by measurement devices that can comprise, ~~in principle~~ for example, one or more sensors that in some cases is different, e.g., radar, ultrasound, video in the visible and infrared region, lidar, laser, range imager.

One goal when processing object data obtained in this fashion is classification. "Classification" is understood to be the allocation of objects to specific object classes. A class serves to describe multiple objects that are considered, on the basis of similar features, to belong together. Classification systems for the assignment of objects to object classes are used, for example, in industrial production. Sensing and object classification of the surroundings of a motor vehicle while driving also promises great benefits.

Classification according to ~~known~~conventional classification methods has the disadvantage that, especially in borderline cases with regard to allocation of the sensed object to a class, an elevated risk of misclassification exists. In borderline cases, for example, there is a high probability that in the context of individual classification results, the object will be attributed to the wrong object class. Outliers in the context of classification of an object, resulting, e.g., from measurement errors, also have a negative effect on the stability and robustness of ~~presently known~~conventional classification methods.

The conventional methods ~~according to the existing art~~ furthermore offer only a yes/no classification of the sensed object. These results are insufficient for situations that require a more differentiated classification result, for example in the context of estimating accident hazards for a motor vehicle. One reason for this is that in the context of a classification repeated over time, an object is allocated first to one class and then, as the object is tracked, later on to a different class; this instability means that a clearly interpretable result is not supplied. Information as to how certain it is that the object might not also belong to one of the other classes would therefore be additionally important.

~~Advantages Of The Invention~~

SUMMARY

~~The features of the independent claims result in~~In accordance with example embodiments of the present invention, a stabilization over time of the classification may result. According to the present invention, a confidence parameter is calculated from the quality parameters of the individual measurements, and is generally "integrated" over a certain number of time steps k and corresponding individual measurements. An abruptly large change in the confidence parameters for each object class with reference to successive time steps is thereby avoided, so that individual outliers during classification can be filtered out. A

strengthening or weakening of the classification result is also effected when a subsequent classification of the result confirms or does not confirm a previous one. "Confirmation" is understood here as at least a tendency toward allocation of the object to the same class. For example, a classification with reference to an object class as the third most suitable class among ten classes can also represent a confirmation for a previous classification of the object in that class.

- 10 Because, according to ~~the~~ an example embodiment of the present invention, the method continuously repeats, the classification result in borderline cases is stabilized to the somewhat more probable object class, so that disruptive back-and-forth jumps in the classification result are suppressed and the method is robust
15 in terms of such disruptions.

The utilization of time-related information in the context of the present invention furthermore makes possible a more differentiated evaluation of the classification result. This kind of "final
20 classification result," based on time-related context information and as a function of confidence parameters adapted by way of many measurements, can yield a substantially better result than a classification based on individual results.

- 25 ~~The dependent claims describe advantageous embodiments and refinements of, and improvements to, the respective subject matter of the invention.~~

One advantageous refinement of the example method according to the present invention is a dependence of the increase and/or decrease in the value of the respective confidence parameter on its absolute quality, the "absolute quality" of the confidence parameter being understood as its level. With a high confidence parameter, for example, a value increase can be correspondingly small so as not
30 to create too great a difference between the confidence parameters, since too great a difference would otherwise interfere with an inherently correct correction of a misclassification.
35

This problem is also solved in another refinement of the method in which the permissible value range for the confidence parameters is limited.

- 5 An additional improvement to the example method according to the present invention is represented by weighted inclusion of the absolute quality of the respective individual classification results, with reference to the individual object classes, into the increase and/or decrease of the corresponding confidence parameter.
- 10 The reliability of the individual results for each object class is thus also included in the classification result. A higher classification quality is the consequence.

A further advantageous method step is that of evaluative analysis

15 of the calculated confidence parameters to determine a final, detailed classification result. It is thereby possible to arrive at more-differentiated statements regarding classification, for example the statement that the object fits badly into class A and fits very badly into all other classes. This is an improvement over

20 the statement that "class A is the best fit."

Also advantageous are on the one hand an evaluation constituting a classification into a higher-order class in the event the classification results alternate between similar object classes

25 (example: if the result alternates between "small car" and "large car," the final result might be the higher-order class "car"), and on the other hand an evaluation constituting a refusal to classify if the classification results jump back and forth over time between dissimilar object classes (example: if the result alternates

30 between "truck" and "pedestrian," the final result might be "object cannot be reliably classified").

The method according to the present invention can be used, in particular, to evaluate the classification results of a

35 classification method for objects in the surroundings of a vehicle. This can be accomplished using a computer-assisted vehicle information system that contains the following devices:

- effective connections to relevant interfaces to vehicle sensor devices for sensing objects in the vehicle's surroundings;
- a control circuit for analysis and classification of the sensed objects, suitable for carrying out the method according to the present invention; and
- effective connections to relevant interfaces to actuator devices on the vehicle.

Drawings

BRIEF DESCRIPTION OF THE DRAWINGS

~~Exemplifying~~Example embodiments of the present invention will be explained below with reference to the ~~drawings~~, in which figures.

Figure 1 is a block depiction of a computer-assisted vehicle information system set up to carry out an example embodiment of the method according to the present invention.

Figure 2 is a flow chart for an example embodiment of the method according to the present invention, ~~and~~.

Figure 3 is a sketch of a scene in the surroundings of a vehicle, with results from an example embodiment of the method according to the present invention.

~~Description Of Exemplary Embodiments~~

DETAILED DESCRIPTION OF EXAMPLE EMBODIMENTS

In the Figures, identical reference characters denote identical or identically functioning components.

Referring to Figure 1, sensors 5 for sensing objects (e.g. trees, pedestrians, passenger cars, or trucks) in the surroundings of vehicle 1 are mounted in and/or on vehicle 1, for example a

passenger car. The nature of sensors 5 can be, for example, radar, ultrasound, video in the visible and infrared region, lidar, laser, and range imager camera.

5 The measured data acquired by the sensors regarding a sensed object are forwarded via effective connections and interfaces (e.g. cable, radio, infrared transmission) to vehicle information system 3 integrated into vehicle 1. Vehicle information system 3
10 ~~possesses~~includes a computer unit 7 associated with which is a database 9 that contains data about features regarding object classes such as pedestrians, transport vehicles, and passenger cars.

Also part of computer unit 7 is a control circuit 11 for classifying
15 the sensed objects and evaluating the classification result. Both the measured data from sensors 5 and the data about the features of the object classes required from database 9 are fed into this control circuit 11, and processed therein for classification. According to an example embodiment of the present invention, the
20 confidence parameters, which indicate how well the sensed object matches the particular object class, are correspondingly increased or decreased in value depending on whether the previous classification is or is not confirmed, e.g., raised by three points per iteration, or lowered by three points for a decrease in value.

25 According to ~~the~~an example embodiment of the present invention, a programmed evaluative analysis of the classification result also occurs in computer unit 7. This results in a differentiated classification, for example the statement that the object fits very
30 well into class A and fits moderately well into all other classes.

When the classification result has achieved sufficient stability over time according to an example embodiment of the present invention, specific actuator devices 13 of vehicle 1 can be
35 triggered by control unit 7, if desired, via effective connections (e.g. cable, radio, infrared transmission); for instance, the

brake can be actuated if the classification result is used, for example, in conjunction with a collision prediction and a safety system.

- 5 Figure 2 depicts an example embodiment of the method according to the present invention as a flow chart.

A preclassification 100, which is based on a single measurement using the aforementioned sensors and a single interpretation of
10 the measurement results obtained therefrom, and does not yet contain any stabilization over time and does not yet represent a confidence parameter ~~as defined in this Application~~described herein, supplies, for each object to be investigated, parameter numbers constituting a "quality parameter" ~~as defined above~~, for
15 matching to all the classes that are to be distinguished. Motivated by information theory, what is used in this example as the parameter is a so-called word length, which should be short in the event of a good agreement between object and class. This "classification word length" is referred to hereinafter as "w_i," where i is the
20 index for a class.

As a possible enhancement, a "rejection word length" w_{rej_i} can be calculated in addition to the classification word lengths w_i. This parameter refers to the question of whether the object does
25 NOT fit into the particular object class and is to be rejected. The calculation of w_{rej_i} can proceed, in principle, differently from that of w_i. Both preclassification results (w_i and w_{rej_i}), or conversely only one of the two, are used in this example as input variables of the example method according to the present invention;
30 i.e., the aforementioned "quality parameter" serves for calculation of the actual confidence parameter, as described in more detail below.

For the object to be classified, a so-called hypothesis exists for
35 each of the object classes to be distinguished. Hypothesis i is "the object belongs to class i."

The probability that a hypothesis is true is evaluated using the confidence parameter "conf_i." The method according to the present invention uses these confidence parameters to evaluate all the classification word lengths.

In addition to the input data mentioned above, according to the present invention the evaluation system uses the confidence parameters from previous time steps (k-1), i.e. "conf_i_k-1," for each of the individual hypotheses.

In processing step 110 (adaptation of the confidence parameters), a distinction 120 as to specific cases is made. These cases can be handled differently depending on the application, i.e., the system can in principle also be used without a distinction of cases, or with fewer or more distinctions. In this example the following three cases are distinguished:

- a) The object was classified in the current time step, and not rejected.
- b) The object was categorized by the classifier in the current time step as belonging to none of the object classes, and therefore rejected. This can be done, for example, by comparing the rejection word lengths w_{rej_i} for all the classes with a defined rejection threshold.
- c) No classification word lengths (w_i and/or w_{rej_i}) exist for the object in the current time step. This can be attributable to insufficient classifier input data, but can also result from deliberate lack of processing of the object. It may be useful, for example, in the interest of high processing speed, not to reclassify in the current time step an object that already has a high confidence parameter, but instead to perform the classification only on the basis of the old confidence parameters.

Case a) - The object was classified in the current time step:

According to the classification word lengths w_i , an increase in
5 the value of the corresponding confidence parameter ~~must be~~ is
performed. One possibility is to increase the value according to
a quality sort of the individual hypotheses: all the word lengths
 w_i are sorted in ascending order, and the smallest w_i indicates
class that "best matches" the object. The confidence parameters
10 for each hypothesis are increased in value in accordance with this
ranked list. The formula might be as follows:

- confidence parameter for the class in first place on the list
is increased in value by 5 points;
15
- confidence parameter for the class in second place on the list
is increased in value by 3 points;
- confidence parameter for the class in third place on the list
20 is increased in value by 1 point;
- all other confidence parameters remain unchanged.

The number of classes to be taken into account, and the relationship
25 among the value increases, can be selected without restriction
based on the particular application.

The increase in value is additionally performed as a function of
the absolute quality of the individual result. Here an additional
30 weighting factor g_i is calculated for each class (see step 130).
This factor takes into account the quality of the result for each
class (i.e. the level of w_i in relation to a specific threshold).
The distance from w_{rej_i} to the rejection threshold may be used
here as an example: this distance is an indication of the evaluation
35 "the object does not belong to any of the classes."

$g_i = \max (0; 1 - (w_{rej_i} / \text{rejection threshold}))$

For objects that were classified but almost rejected, the weighting factor assumes a value close to 0. This means that the corresponding hypothesis was in fact barely confirmed, and an increase in the value of the confidence parameter is therefore not plausible. For a higher-quality result (corresponding to a greater distance from the rejection threshold), g_i approaches 1. This applies to the assumption of a positive w_{rej_i} .

The result for the increase in value of the confidence parameters is then: $5 * g_i$ for the class in first place, and $3 * g_i$ and $1 * g_i$ correspondingly for second and third place, respectively.

Case b) - The object was "rejected" by the classifier in the current time step:

In the event of rejection, the confidence parameters of all the hypotheses are decreased in value: a rejection of the object means that the object cannot be allocated to any of the defined classes, and therefore none of the defined hypotheses can be supported.

Correlated with the quantitative example of a 5, 3, 1 value increase cited in case a), a value decrease of, for example, 4 for all confidence parameters can be applied in case b).

As in case a), a quality-dependent additional weighting factor $g2_i$ is used for the value decrease in the confidence parameters for each class i (130). This factor can once again, for example, be based on the distance between the rejection word length w_{rej_i} and a defined rejection threshold:

$g2_i = \min (1; [1 - (w_{rej_i} / \text{rejection threshold})])$

In this case $g2_i$ assumes large values (a maximum of 1) for a large distance from the rejection threshold: the more unequivocally the

object was rejected, the more the value of the confidence parameter must be decreased. For a w_{rej_i} close to the rejection threshold, weighting factor $g2_i$ approaches 0 (no value decrease).

5 Case c) - No classification result exists for the object in the current time step:

10 If the classification word lengths (w_i and/or w_{rej_i}) are absent, all the confidence parameters are decreased in value by a specific identical amount. This represents a reduction in the certainty that the hypotheses are correct. For the quantitative example being used, a decrease in the value of all confidence parameters by 1 is selected. It is obvious in this context that the absolute numbers should be coordinated with the classification iteration rate, the value range limits, and the application of the method. The iteration rate here is the frequency with which individual quality parameters are calculated, per predefined unit of time, in the aforesaid time steps k .

20 Here as well, it is advantageous to apply a more differentiated value decrease as a function of various cases (e.g., by asking "why was the object not classified," etc.).

Adapting the value range (see step 140):

25 An adaptation 140 of the value range of the confidence parameters is effected by limiting them. Here as well, ~~it is obvious that~~ the absolute numbers should be coordinated with the classification iteration rate and the application of the method.

30 The value range does not necessarily have to be symmetrical about zero; a smaller range for negative confidence parameters and a larger range for positive ones may prove useful depending on the application. In this example a range from -20 to 200 is selected.

35

If the confidence parameters depart from this value range as a consequence of an increase or decrease in value, an adaptation is necessary; otherwise a classification would no longer be possible, since all (or at least several) of the hypotheses would be supported to the same extent. This can be done differently for a value range overflow and underflow. One possible adaptation is:

- A value range underflow causes the confidence values to be truncated. If a confidence parameter is on the verge of dropping below this level, it is set to the minimum possible value.
- A value range overflow causes the confidence parameters not to be simply truncated, but to be adapted in accordance with their relationship to one another. When a confidence parameter reaches the maximum value, for example, a value decrease can be performed for all the other confidence parameters by the same amount by which the high confidence parameter would normally be increased in value. The distance between the high confidence parameters and the other confidence parameters is thereby maintained.

The aforesaid adaptation is only an example of the plurality of possible procedures.

Determining the final classification result (step 150):

According to the present invention, a determination of the classification result for the object in question is performed as the last processing step of the method according to the ~~exemplifying~~ embodiment. This result is ascertained on the basis of the calculated confidence parameters.

A very large number of possibilities exist here for detailed evaluation, for example:

- Assign the object to the class for which the highest confidence parameter exists.
- As an additional alternative: the classification result is valid only if this highest confidence parameter exceeds a given minimum threshold.
- As an additional alternative: the classification result is valid only if the distance between this highest confidence parameter and the second-highest confidence parameter exceeds a defined threshold (otherwise the result is characterized as "not unequivocal").

For stabilization over time according to the present invention, the confidence parameters are temporarily stored and are used as input data for the next processing step of the method.

Figure 3 depicts a scene in the surroundings of a vehicle, showing the results of an example embodiment of the method according to the present invention. For each of the objects present in the scene (vehicles only, in this case), one of which is labeled with reference character 206, icons 200 show the class of the object by way of a symbol 202, and indicate the level of the confidence parameters using a bar 204. This depiction is not intended for the driver, but serves only for further explanation of the present invention.

Although the present invention has been described above on the basis of a preferred ~~exemplifying~~ example embodiment, it is not limited thereto but rather is modifiable in numerous ways, e.g., the absolute magnitude of the value increase/decrease delta numbers, the iteration duration, or the limits.

The method according to the present invention can be used to improve any type of classification. The method encompasses a plurality of

steps and variants that for the most part are mutually independent,
and it can be flexibly configured.

5 Lastly, the features of the ~~dependent claims~~present invention can
be combined with one another substantially without restriction ~~and~~
~~not in the sequence existing in the Claims, provided they are~~
~~independent of one another.~~

~~Abstract~~ABSTRACT

~~The invention concerns classification~~Classification methods
~~(100)~~are described that proceed in computer-assisted fashion, and
5 in particular a method for evaluation and stabilization over time
of classification results is described in which objects to be
classified are sensed using sensors over a period of time, and are
repeatedly classified with the inclusion of specific quality
parameters for each object class. To ensure better classification
10 reliability, the following steps ~~are~~may be carried out:
a) increasing the value ~~(110, 120)~~ of the confidence parameter
if a subsequent classification confirms the result of a previous
classification;
b) decreasing the value ~~(110, 120)~~ of the confidence parameter
15 if a subsequent classification does not confirm the result of a
previous classification;
and c) generating ~~(150)~~ a final classification result including
the confidence parameters that have been increased or decreased
in value.
20 ~~(Figure 2)~~